

# Forbidden Configurations introductory problems

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We need some basic definitions. Define a matrix to be *simple* if it is a (0,1)-matrix with no repeated columns. Then an  $m \times n$  simple matrix corresponds to a *simple hypergraph* or *set system* on  $m$  vertices with  $n$  edges. For a matrix  $A$ , let  $|A|$  denote the number of columns in  $A$ . For a (0,1)-matrix  $F$ , we define that a (0,1)-matrix  $A$  has *no  $F$*  as a *configuration* if there is *no* submatrix of  $A$  which is a row and column permutation of  $F$ . Let  $\text{Avoid}(m, F)$  denote the set of all  $m$ -rowed simple matrices with no configuration  $F$ . Our main extremal problem is to compute

$$\text{forb}(m, F) = \max_A \{|A| : A \in \text{Avoid}(m, F)\}. \quad (1)$$

Let  $\text{Avoid}(m, \mathcal{F})$  denote the set of all  $m$ -rowed simple matrices with no configuration  $F \in \mathcal{F}$ . Define

$$\text{forb}(m, \mathcal{F}) = \max_A \{|A| : A \in \text{Avoid}(m, \mathcal{F})\}. \quad (2)$$

The following product is important. Let  $A$  and  $B$  be (0,1)-matrices. We define the product  $A \times B$  by taking each column of  $A$  and putting it on top of every column of  $B$ . Hence if  $|A| = a$  and  $|B| = b$  then  $|A \times B|$  is  $ab$ . Let  $I_m$  be the  $m \times m$  identity matrix,  $I_m^c$  be the (0,1)-complement of  $I_m$  (all ones except for the diagonal) and let  $T_m$  be the triangular matrix, namely the (0,1)-matrix with a 1 in position  $i, j$  if and only if  $i \leq j$ . Problems:

1. Prove that  $\text{forb}(m, F) = \text{forb}(m, F^c)$ , where  $F^c$  is the 0 – 1-complement of  $F$ .
2. What is  $\text{forb}(m, I_2)$ ? What is  $\text{forb}(m, \{I_2, T_2\})$ ?
3. Let  $F$  be a  $k$ -rowed matrix. Suppose we have  $A \in \text{Avoid}(m, F)$  such that  $|A| = \text{forb}(m, F)$ . Consider deleting a row  $r$ . Let  $C_r(A)$  be the matrix that consists of the repeated columns of the matrix that is obtained when deleting row  $r$  from  $A$ . If we permute the rows of  $A$  so that  $r$  becomes the first row, then after some column permutations,  $A$  looks like this:

$$A = \begin{matrix} r \\ \left[ \begin{array}{cccccc} 0 & \cdots & 0 & 1 & \cdots & 1 \\ B_r(A) & & C_r(A) & C_r(A) & & D_r(A) \end{array} \right] \end{matrix}. \quad (3)$$

where  $B_r(A)$  are the columns that appear with a 0 on row  $r$ , but don't appear with a 1, and  $D_r(A)$  are the columns that appear with a 1 but not a 0. Prove that

$$\text{forb}(m, F) \leq |C_r(A)| + \text{forb}(m - 1, F). \quad (4)$$

4. Let  $K_k$  denote the  $k \times 2^k$  simple 0-1-matrix (configuration). Use the decomposition (3) and the inequality (4) to prove that  $\text{forb}(m, K_k) = O(m^{k-1})$ .

5. Prove that

$$\text{forb}(m, K_k) \geq \binom{m}{k-1} + \binom{m}{k-2} + \dots + \binom{m}{0}. \quad (5)$$

6. Do we have equality in (5)?

7. Prove that

$$I_p \times T_p \in \text{Avoid}\left(m, \begin{pmatrix} 1 & 0 \\ 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{pmatrix}\right). \quad (6)$$

8. \* Assume that we consider forbidden configurations of  $\{0, 1, 2\}$ -matrices. Let  $\mathcal{T}_{i,j,k} = \left\{ \begin{pmatrix} j & k \\ i & j \end{pmatrix} \text{ for } i, j, k \in \{0, 1, 2\} \right\}$ . Here we assume that  $i = j = k$  does not hold. What is  $\text{forb}(m, \mathcal{T}_{i,j,k})$ ?